



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/074,225	02/14/2002	Fernando Donate	38342-178463	6196
30827	7590	04/19/2005	EXAMINER	
MCKENNA LONG & ALDRIDGE LLP 1900 K STREET, NW WASHINGTON, DC 20006			BLANCHARD, DAVID J	
			ART UNIT	PAPER NUMBER
			1642	

DATE MAILED: 04/19/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

W

<b>Interview Summary</b>	<b>Application No.</b> 10/074,225	<b>Applicant(s)</b> DONATE ET AL.	
	<b>Examiner</b> David J. Blanchard	<b>Art Unit</b> 1642	

All participants (applicant, applicant's representative, PTO personnel):

(1) David J. Blanchard.

(3) Shmuel Livnat.

(2) Larry R. Helms.

(4) Andrew P. Mazar.

Date of Interview: 15 April 2005.

Type: a) ☒ Telephonic   b) ☐ Video Conference  
c) ☐ Personal [copy given to: 1) ☐ applicant   2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes   e) ☒ No.  
If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: 1,2,6,7,11,12 and 49.


Identification of prior art discussed: Art of record in the Office Action mailed 11/17/2004.

Agreement with respect to the claims f) ☐ was reached.   g) ☐ was not reached.   h) ☒ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See Continuation Sheet.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

  
LARRY R. HELMS, PH.D  
PRIMARY EXAMINER

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

\_\_\_\_\_  
Examiner's signature, if required

HL

Manual of Patent Examining Procedure, Section 713.04 Substance of Interview Must Be Made of Record

A complete written statement as to the substance of *any* face-to-face or telephone *interview* with regard to an application *must be made of record in the application*, whether or not an agreement with the examiner was reached at the interview.

§ 1.133 Interviews

.....

(b) In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be *filed* by the applicant. An interview does not remove the necessity for response to Office actions as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

§ 1.2 Business to be transacted in writing. All business with the Patent and Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete a two-sheet carbon interleaf interview Summary Form for each interview held after January 1, 1978 where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks in neat handwritten form using a ball point pen. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below.

The interview Summary Form shall be given an appropriate paper number, placed in the right hand portion of the file, and listed on the "Contents" list on the file wrapper. The docket and serial register cards need not be updated to reflect interviews. In a personal interview, the duplicate copy of the Form is removed and given to the applicant (or attorney or agent) at the conclusion of the interview. In case of a telephonic interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the telephonic interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Serial Number of the application
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (personal or telephonic)
- Name of participant(s) (applicant, attorney or agent, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the claims discussed
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). (Agreements as to allowability are tentative and do not restrict further action by the examiner to the contrary.)
- The signature of the examiner who conducted the interview
- Names of other Patent and Trademark Office personnel present.

The Form also contains a statement reminding the applicant of his responsibility to record the substance of the interview.

It is desirable that the examiner orally remind the applicant of his obligation to record the substance of the interview in each case unless both applicant and examiner agree that the examiner will record same. Where the examiner agrees to record the substance of the interview, or when it is adequately recorded on the Form in an attachment to the form, the examiner should check a box at the Form informing the applicant that he need not supplement the Form by submitting a separate record of the interview.

It should be noted, however, that the interview Summary Form will not be considered and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview:

A complete and proper recordation of the substance of any interview should include at least the following applicable items:


- 1) A brief description of the nature of any exhibit shown or any demonstration conducted.
- 2) an identification of the claims discussed.
- 3) an identification of specific prior art discussed.
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the examiner.
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner. The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application office. Of course, the applicant may desire to emphasize and fully describe those arguments which he feels were or might be persuasive to the examiner.
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete or accurate, the examiner will give the applicant one month from the date of the notifying letter or the remainder of any period for response, whichever is longer, to complete the response and thereby avoid abandonment of the application (37 CFR 1.135(c)).

Examiner to Check for Accuracy

Applicant's summary of what took place at the interview should be carefully checked to determine the accuracy of any argument or statement attributed to the examiner during the interview. If there is an inaccuracy and it bears directly on the question of patentability, it should be pointed out in the next Office letter. If the claims are allowable for other reasons of record, the examiner should send a letter setting forth his or her version of the statement attributed to him. If the record is complete and accurate, the examiner should place the indication "interview record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Applicant submitted proposed claim amendments and a post-filing publication by the inventors of the claimed HPRG peptides. Applicant's proposed claim amendments would overcome the rejections for indefiniteness under 35 U.S.C. 112, second paragraph, if submitted. With respect to the first paragraph rejections under 35 U.S.C. 112 (i.e., written description and enablement) as applied to "sequence variants" of the claimed polypeptides/peptides Applicant proposed amending "sequence variant" to "functional homologue or conservative amino acid substitution variant" in the claims and the examiner indicated that the instant disclosure apparently only provides adequate written description for the rabbit and human HPRG domains and not "functional homologues", which encompasses yet to be discovered HPRG polypeptides/peptides. The proposed "conservative amino acid substitutions", appears to be enabled by applicant, however, applicant will submit arguments to overcome the rejection. The applied art was also discussed and applicant proposed to amend the claim term "having" with the term "consisting essentially of". In accordance with MPEP 2111.03, the examiner indicated that "consisting essentially of" is interpreted as equivalent to "comprising" absent a showing that the introduction of additional components would materially change the characteristics of applicant's invention. Thus, this proposed claim amendment does not overcome the art of record because the claims remain construed as open and still read on the full-length HPRG protein in the prior art.



LARRY R. HELMS, PH.D  
PRIMARY EXAMINER